

## SHEET OF GETTING INVESTOR’S WRITTEN OPINION

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### I. General information on the DFVN Capital Appreciation Fund (DFVN-CAF) and the Fund Management Company

- The Fund’s name in Vietnamese : Quỹ Đầu tư Tăng trưởng DFVN
- The Fund’s name in English : *DFVN Capital Appreciation Fund*
- Abbreviated name : DFVN-CAF
- The Certificate of public offering registration No. 34/GCN-UBCK issued by the State Securities Commission dated January 3<sup>rd</sup>, 2019

Managed by:

#### **Dai-ichi Life Vietnam Fund Management Company Limited (“Company”)**

- License of establishment and operation No. 53/GP-UBCK issued by the State Securities Commission on February 10<sup>th</sup>, 2014
- Address: Floor 11<sup>th</sup>, 149 – 151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City

### II. Purpose of getting opinion

- Getting the Investor’s written opinion on the contents which need to be voted at the fiscal year 2020 General Investors Meeting of the DFVN-CAF. Every Investor shall have 01 Sheet of getting opinion (voting).

### III. Investor’s information

Name of Investor: **THE DAI-ICHI LIFE INSURANCE COMPANY, LIMITED**

Nationality: JAPAN

ID/Citizen Identification/Business License no.: 0100.0117.4683

Address: 1-13-1 YURAKUCHO, CHIYODA-KU, TOKYO, 100-8411 JAPAN, JAPAN

The number of Fund Certificates (number of voting right): 7,000,000

Holding ratio: 89,3367%

Transaction Account Number No.: DAFMA0000115

#### **For institutional Investor:**

Name of Authorized person: AKIO MIZOKAWA

ID/Citizen Identification/Passport No.: TK 9742748

Nationality: JAPAN

Residential Address: 7-19-23 KITAMI SETAGAYA – KU, TOKYO 157 – 0067 JAPAN

I/we have the right to vote on the contents of the fiscal year 2020 General Investors Meeting of the DFVN-CAF as followings:

*(Kindly please select only 01 (one) option of “Agree”, “Disagree”, “No Option”)*

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**Dai-ichi Life Vietnam Fund Management Company, Ltd. – DFVN**

Floor 11<sup>th</sup>, 149 – 151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City

Telephone: (84-28) 3810 0888

Fax: (84-28) 3844 9835

Website: [www.dfvn.com.vn](http://www.dfvn.com.vn)



NO.	VOTING CONTENTS	AGREE	DISAGREE	NO OPINION
(1)	Approve on 2020 Performance Report of the DFVN-CAF <sup>1</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2)	Approve on the 2020 audited Financial Statements of the DFVN-CAF <sup>2</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3)	Approve on the 2021 budget plan for the operation of the Fund Representative Board of the DFVN-CAF	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4)	Authorize the Fund Representative Board to select 01 (one) of 03 (three) audit companies including KPMG, E&Y and PWC to perform auditing for the DFVN-CAF in fiscal year of 2021	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(5)	Approve on the distribution plan for the 2020 profit of the DFVN-CAF	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(6)	Approve the amendment, supplement of DFVN-CAF Charter <sup>3</sup>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Kindly please send the Sheet of getting opinion by **21<sup>th</sup> April 2021** at the latest in the 01 (one) of the following methods:

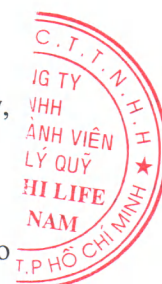
-To the address:

Dai-ichi Life Vietnam Fund Management Company Limited

Floor 11<sup>th</sup>, 149-151 Nguyen Van Troi, Ward 11, Phu Nhuan district, Ho Chi Minh City, Vietnam

Sheet of getting opinion is sent in the closed envelop.

-Via the email with the attachment of the scan or snapshot of the Sheet of getting opinion to the email's address at [dfvn\\_cs@dai-ichi-life.com.vn](mailto:dfvn_cs@dai-ichi-life.com.vn).



**INVESTOR<sup>4</sup>**  
(Sign and fill in full name)

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Date: ...../...../2021

**LEGAL REPRESENTATIVE**



**Trần Châu Danh**  
Chief Executive Officer

<sup>1</sup> Uploaded at the Company's website, the link: <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf>.

<sup>2</sup> Uploaded at the Company's website, the link <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf>.

<sup>3</sup> Uploaded at the Company's website, the link <https://www.dfvn.com.vn/investor-relations/information-disclosure/dfvn-caf>.

<sup>4</sup> For the institutional Investor, please help to sign and fill in the full name of the Legal Representative or Authorized person.

**Dai-ichi Life Vietnam Fund Management Company, Ltd. – DFVN**

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